



Privacy Policy

The following statement explains the policy of Waratah Funds Management Limited relating to the collection, storage and use of personal information.

The purpose of this policy is to assure anyone who does business with Waratah Funds Management Limited that Waratah Funds Management Limited will comply with both the letter and spirit of the Privacy Act 1988.

Compliance with Privacy Legislation

Waratah Funds Management Limited complies with the National Privacy Principles contained in the Privacy Act 1988.

Collection of Personal Information

Personal information is defined as information or an opinion that can identify a person. The type of personal information that Waratah Funds Management Limited collects from its customers will depend upon what dealings customers may have with Waratah Funds Management Limited.

The type of information we may collect and hold includes information about:

- Investors in our investment vehicles (for example, when establishing accounts and to meet any legislative requirements such as Anti Money Laundering), including, without limitation, names, addresses, contact details, bank account details and tax file numbers, etc.
- Tenants (for example, when entering into a lease with Waratah Funds Management Limited)
- Suppliers and purchasers (for example, when establishing records and systems to enable payment for goods)
- Employees (for example, for the purposes of employment)
- Contractors (for example, when establishing records and systems to enable payment for services), and
- Joint venture partners and other people who provide or who we provide goods and services to and who we have contractual relationships with.

Use and Disclosure of Personal Information

Waratah Funds Management Limited's policy is only to use or disclose personal information for the purpose which was either specified or reasonably apparent at the time when the information was collected. Waratah Funds Management Limited may also use or disclose the information collected for any other related purpose for which an investor or customer would reasonably expect it to be used. If Waratah Funds Management Limited wishes to use or disclose the personal information in other circumstances it would obtain the persons consent to do so.

Security of Personal Information

Waratah Funds Management Limited will take reasonable steps to protect personal information that it collects and reasonable steps to ensure that the information is accurate and up-to-date. Investor information is held on secure servers or in storage located in controlled environments. Waratah Funds Management Limited employees are required to maintain the confidentiality of any personal information held.

Sharing Information with Other Organisations

Waratah Funds Management Limited does not sell personal information to companies. The circumstances in which external organisations may be given access to Waratah Funds Management Limited investors' personal information include, but are not limited to:

- Investors in our investment vehicles (for example, when establishing accounts and to meet any legislative requirements such as Anti Money Laundering) including, without limitation, names, addresses, contact details, bank account details and tax file numbers, etc.

- to the Australian Securities and Investments Commission, AUSTRAC and to other government agencies as agreed to or required by law
- when Waratah Funds Management Limited's contractors and service providers are assisting Waratah Funds Management Limited in the operation of its business or to provide a service (for example, a company which helps maintain Waratah Funds Management Limited's computer systems or sends out Waratah Funds Management Limited's mail)
- to third party lenders and our insurers
- to anyone authorised by a provider of personal information, and
- if Waratah Funds Management Limited is required to provide the information by court proceedings.

Marketing

Waratah Funds Management Limited may send advertising mail to its investors where the advertising mail is related to the purpose for which the information was collected. If the investor no longer wishes to receive promotional information from Waratah Funds Management Limited, the investor should advise the Waratah Funds Management Limited Privacy Officer. Normally Waratah Funds Management Limited's records would be amended within 30 days.

Cookies

A cookie is a small data file that may be placed on the computer of a web user (usually in the browser software folder) the first time a computer visits a web site which operates cookies. Cookies are necessary to facilitate on-line transactions and ensure security. If customers do not wish to receive any cookies, they should set their browser to refuse cookies. Waratah Funds Management Limited does not use cookies to keep personal profiles of its customers' use of the Waratah Funds Management Limited website at www.waratahmanagement.com.au

Access to Personal Information

Investors are welcome to request details regarding what personal information Waratah Funds Management Limited may hold about them. To obtain the information, please contact the Waratah Funds Management Limited Privacy Officer. Waratah Funds Management Limited will require personal identification.

Changing Information

If an investor wishes to update personal information that may be inaccurate or out-of-date, they may write to the Waratah Funds Management Limited Privacy Officer.

Changes in the Future

Waratah Funds Management Limited reserves the right to change this Privacy Policy at any time. Waratah Funds Management Limited will notify any changes by posting an updated version of the policy on the Waratah Funds Management Limited website at www.waratahmanagement.com.au

Additional Information on Privacy

For further information about privacy issues and the protection of privacy, visit the Australian Federal Privacy Commissioner's website at www.privacy.gov.au

You can contact the Waratah Funds Management Limited Privacy Officer as follows:

Waratah Funds Management Limited
Suite 1, 207 Ben Boyd Road
Neutral Bay, NSW 2089
Phone: 02 9098 9100
Email: info@waratahmanagement.com.au